



Instructions for form S.B4.TPM – Application for collateral arrangements

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Instructions for form Form S.B4.TPM – Application for collateral arrangements

Who should use this form

A Counterparty wishing to apply for collateral arrangements to be able to pledge collateral for credit with the Riksbank.

What this form is used for

To specify which collateral arrangement(s) the Counterparty wishes to use.

How the form should be completed

The form is a protected Word document.

Descriptions of fields

Date (1)	Date of application. <i>Mandatory information</i>
Counterparty (2)	The applicant's name and corporate registration number. <i>Mandatory information.</i>
Person responsible (3)	Name, telephone number, e-mail and address to the Contact person at the Counterparty. <i>Mandatory information</i>
Ordering collateral arrangements (4)	Please state whether the application refers to new, supplementary or termination of arrangements. <i>Mandatory information</i>
E-mail address for notifications and alerts (5)	Please state the e-mail address to which you wish to receive notifications from the Riksbank's Collateral Management system in the event of problems. Please note that this should be a group e-mail. <i>Mandatory information</i>
Contact details regarding pledging (6)	Please state contact details that can be used for questions regarding pledging, daily operational issues and for information regarding amendments or incidents. <i>Mandatory information</i>
Euroclear Sweden (7)	If the application for arrangements refers to securities with Euroclear Sweden, please state whether the holdings are in the AM/PM part, and the respective account numbers (only stated for amendment/removal, otherwise leave blank), production – and test BIC that will be used to move pledged collateral to the pledge account (please note that if using an agent, it is the agent's BIC that should be stated), address for sending out account statement, Bankgiro/ account number for coupon payments.

Close links (11)	State the companies with which the counterparty has close links, according to the categories described in <i>S.B4.TPM Application for collateral arrangements</i> .
Authorised representative (12)	State the name of the counterparty. Application to be signed by an authorised company signatory. <i>Mandatory information</i>
Authorised representative (13)	State the name of the agent, if used. The application shall also be signed by an authorised company signatory for the agent. <i>Mandatory information if an agent is used</i>
Enclosures to be sent (14)	Enclose authorisation documents for both the counterparty and the agent. <i>Mandatory information</i>